

# Governance and Audit Committee

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Meeting Venue

**By Zoom**

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Meeting Date

**Friday, 5 May 2023**

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Meeting Time

**10.00 am**

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For further information please contact

**Wyn Richards**

01597 826375

wyn.richards@powys.gov.uk



County Hall  
Llandrindod Wells  
Powys  
LD1 5LG

27-04-2023

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Mae croeso i chi siarad yn Gymraeg neu yn Saesneg yn y cyfarfod.

Rhowch wybod pa iaith rydych am ei defnyddio erbyn hanner dydd, ddau ddiwrnod gwaith cyn y cyfarfod.

You are welcome to speak Welsh or English in the meeting.

Please inform us of which language you wish to use by noon, two working days before the meeting.

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## AGENDA

<b>1.</b>	<b>APOLOGIES</b>	
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To receive apologies for absence.

<b>2.</b>	<b>DISCLOSURES OF INTEREST</b>	
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To receive any disclosures of interest by Members relating to items to be considered at the meeting.

<b>3.</b>	<b>MINUTES AND ACTION LOG</b>	<b>10.05 AM</b>
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(a) To authorise the Chair to sign the minutes of the previous meeting held as follows:  
31-03-2023

(b) Action Log  
(Pages 5 - 16)

**Audit Wales Items**

<b>4.</b>	<b>AUDIT WALES AUDIT SUMMARY REPORT</b>	<b>10.15 AM</b>
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To receive and consider the Audit Wales Summary Report 2022  
(Pages 17 - 32)

<b>5.</b>	<b>AUDIT WALES ASSURANCE AND RISK ASSESSMENT REVIEW REPORT</b>	<b>10.35 AM</b>
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To receive and consider the Audit Wales Assurance and Risk Assessment Review Report  
(Pages 33 - 60)

<b>6.</b>	<b>AUDIT OF ACCOUNTS REPORT ADDENDUM</b>	<b>10.55 AM</b>
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To receive and consider the Audit of Accounts Report Addendum  
(Pages 61 - 76)

<b>7.</b>	<b>AUDIT WALES - Q3 WORK PROGRAMME UPDATE</b>	<b>11.15 AM</b>
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To receive and consider the Audit Wales Quarter 3 Work Programme Update.  
(Pages 77 - 94)

<b>SWAP Items</b>		
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<b>8.</b>	<b>INTERNAL AUDIT - ACTIVITY REPORT QUARTER 4 2022-23 AND AUDIT PLAN 2023-24 UPDATE</b>	<b>11.35 AM</b>
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To receive and consider the Activity Report Quarter 4 2022-23 and the Audit Plan 2023-24 Update.  
(To Follow)

<b>Other Items</b>		
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<b>9.</b>	<b>STRATEGIC RISK REGISTER - QUARTER 4</b>	<b>11.55 AM</b>
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To receive and consider the report of the Cabinet Member for Finance and Corporate Transformation.  
(Pages 95 - 116)

<b>10.</b>	<b>SELF ASSESSMENT - ACTION PLAN</b>	<b>12.15 PM</b>
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To review and update the Self-Assessment Action Plan 2022 and Draft Action Plan 2023  
(Pages 117 - 136)

<b>11.</b>	<b>WORKING GROUPS</b>	<b>12.25 PM</b>
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To receive feedback from the following Working Groups:

- (i) HoWPS Working Group  
03.04.2023
- (ii) Capital Working Group  
27.04.2023
- (iii) HTR Working Group  
19.04.2023

(Pages 137 - 140)

<b>12.</b>	<b>WORK PROGRAMME</b>	<b>12.35 PM</b>
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To consider the forward work programme (attached) and consider whether any additional items should be included.

(Pages 141 - 144)

<b>Risk Deep Dive</b>		
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<b>13.</b>	<b>DEMAND IN CHILDREN'S SERVICES</b>	<b>12.40 PM</b>
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To receive and consider an extract from the Strategic Risk Register.

(Pages 145 - 146)

<b>14.</b>	<b>EXEMPT ITEM</b>	
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To consider passing the following Resolution:

RESOLVED to exclude the public for the following item of business on the grounds that there would be disclosure to them of exempt information under category 3 of The Local Authorities (Access to Information) (Variation) (Wales) Order 2007).

The Monitoring Officer has determined that category 3 of the Access to Information Procedure Rules applies to the following item. His view on the public interest test (having taken account of the provisions of Rule 14.8 of the Council's Access to Information Rules) was that to make this information public would disclose information relating to the financial or business affairs of any particular person (including the authority holding that information).

These factors in his view outweigh the public interest in disclosing this information. Members are asked to consider these factors when determining the public interest test, which they must decide when considering excluding the public from this part of the meeting.

<b>15.</b>	<b>CONFIDENTIAL PRESENTATION</b>	
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To receive and consider a confidential presentation by the Director of Education and Children and the Head of Children's Services.

**Committee Reflection**

Following the close of the meeting, the Committee is asked to take 5 to 10 minutes to reflect on today's meeting.